

## Investor Complaints – Stock Broker & Depository Participant

### Data for every month ending

Sr No	Received from	C/f from previous month	Received during the month	Total pending	Resolved*	Pending at the end of the month**		Average resolution on time^ (in days)
						Pending < 3 months	Pending > 3 months	
1	2	3	4	5	6	7		8
1	Directly from investors	0	0	0	0	0	0	0
2	SEBI (Scores)	0	0	0	0	0	0	0
3	Stock exchanges	0	0	0	0	0	0	0
4	Other sources (if any)	0	0	0	0	0	0	0
5	Grand Total	0	0	0	0	0	0	0

### Trend of monthly disposal of complaints

Sr No	Month	C/f from previous month	Received	Resolved	Pending
1	April 2023	0	0	0	0
2	May 2023	0	0	0	0
3	June 2023	0	0	0	0
4	July 2023	0	0	0	0
5	August 2023	0	0	0	0
6	September 2023	0	0	0	0
7	October 2023	0	0	0	0
8	November 2023	0	0	0	0
9	December 2023	0	0	0	0
10	January 2024	0	0	0	0
11	February 2024	0	0	0	0
12	March 2024				

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

Sr No	Year	C/f from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	FY 2017-2018	0	0	0	0
2	FY 2018-2019	0	0	0	0
3	FY 2019-2020	0	0	0	0
4	FY 2020-2021	0	0	0	0
5	FY 2021-2022	0	0	0	0
6	FY 2022-2023	0	0	0	0
7	FY 2023-2024	0	0	0	0